

PTO/SB/01 (08-03)

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> <b>(37 CFR 1.63)</b>		Attorney Docket Number	FOPA-P101
		First Named Inventor	MERRICK, Steve
<input type="checkbox"/> Declaration Submitted With Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		COMPLETE IF KNOWN	
		Application Number	10/803,804
		Filing Date	March 18, 2004
		Art Unit	2874
		Examiner Name	NYA

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**APPARATUS AND TECHNIQUE FOR DETERMINING PLACEMENT OF OPTICAL COMPONENTS IN OPTICAL COMMUNICATION APPLICATIONS**

(Title of the invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

MARCH 18, 2004

as United States Application Number or PCT International

Application Number

10/803,804

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.58, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

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**DECLARATION — Utility or Design Patent Application**

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NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))				Family Name or Surname	
Stephen P.				Merrick	
Inventor's Signature				Date	
Residence: City		State		Country	
San Jose		CA		U.S.A.	
Mailing Address					
1766 Yudean Way					
City		State		ZIP	
San Jose		CA		95132	
Country		U.S.A.			
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))				Family Name or Surname	
Lynn				Wiese	
Inventor's Signature				Date	
<i>Lynn Karl Wiese</i>				Sept 13, 2004	
Residence: City		State		Country	
Santa Clara		CA		U.S.A.	
Mailing Address					
3534 Butcher Drive					
City		State		ZIP	
Santa Clara		CA		95051	
Country		U.S.A.			
<input checked="" type="checkbox"/> Additional inventor or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					

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PTO/SB/02A (08-03)  
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<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet	
		Page <u>3</u> of <u>3</u>	

  

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Kathener		Martin	
Inventor's Signature <i>Martin Kathener</i>		Date <i>9/12/04</i>	
Redwood City Residence: City	CA State	U.S.A. Country	U.S.A. Citizenship
839 Columbia Circle Mailing Address			
Mailing Address			
Redwood City City	CA State	94065 Zip	U.S.A. Country

  

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
JOHN		Zhang	
Inventor's Signature <i>John Zhang</i>		Date <i>9-16-04</i>	
Santa Clara Residence: City	CA State	U.S.A. Country	U.S.A. Citizenship
500 Mill Circle Lane Mailing Address			
Apartment 202 Mailing Address			
Santa Clara City	CA State	95054 Zip	U.S.A. Country

  

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)		Attorney Docket Number FOPA-P101
		First Named Inventor MERRICK, Steve
<b>COMPLETE IF KNOWN</b>		
		Application Number 10/803,804
		Filing Date March 18, 2004
		Art Unit 2874
		Examiner Name NYA

☐ Declaration Submitted With Initial Filing
 OR
☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

**I hereby declare that:**

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MARCH 18, 2004

as United States Application Number or PCT International

Application Number 10/803,804 and was amended on (MM/DD/YYYY)  (if applicable).

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I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed		Certified Copy Attached?	
			Yes	No	Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

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10/04/04 12:05 FAX 408 9482599

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J

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**DECLARATION — Utility or Design Patent Application**

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Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.			
NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Stephen P.		Merrick	
Inventor's Signature		Date	
<i>Stephen P. Merrick</i>		10/3/04	
Residence: City	State	Country	Citizenship
San Jose	CA	U.S.A.	U.S.A.
Mailing Address			
1766 Trudeau Way			
City	State	ZIP	Country
San Jose	CA	95132	U.S.A.
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Lynn		Wiese	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Santa Clara	CA	U.S.A.	U.S.A.
Mailing Address			
3534 Butcher Drive			
City	State	ZIP	Country
Santa Clara	CA	95051	U.S.A.
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the 1 supplemental sheet(s) PTO/SB/02A or 021.R attached hereto.			

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DECLARATION		ADDITIONAL INVENTOR(S) Supplemental Sheet		Page 3 of 3	
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Kalberer		Martin			
Inventor's Signature		Date		U.S.A. Citizenship	
Redwood City Residence: City		CA State	U.S.A. Country		
839 Columbia Circle Mailing Address					
Mailing Address		CA State	94065 Zip	U.S.A. Country	
Redwood City City					
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Jonathon		Zhang			
Inventor's Signature		Date		U.S.A. Citizenship	
Santa Clara Residence: City		CA State	U.S.A. Country		
590 Mill Circle Lane Mailing Address					
Mailing Address		CA State	95054 Zip	U.S.A. Country	
Santa Clara City					
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Inventor's Signature		Date		Citizenship	
Residence: City		State	Country		
Mailing Address					
Mailing Address		State	Zip	Country	
City					

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